

# EtherCIS



## A Pragmatic Open Source openEHR CDR

*Christian Chevalley*

*Ripple Foundation*

*openEHR Foundation*

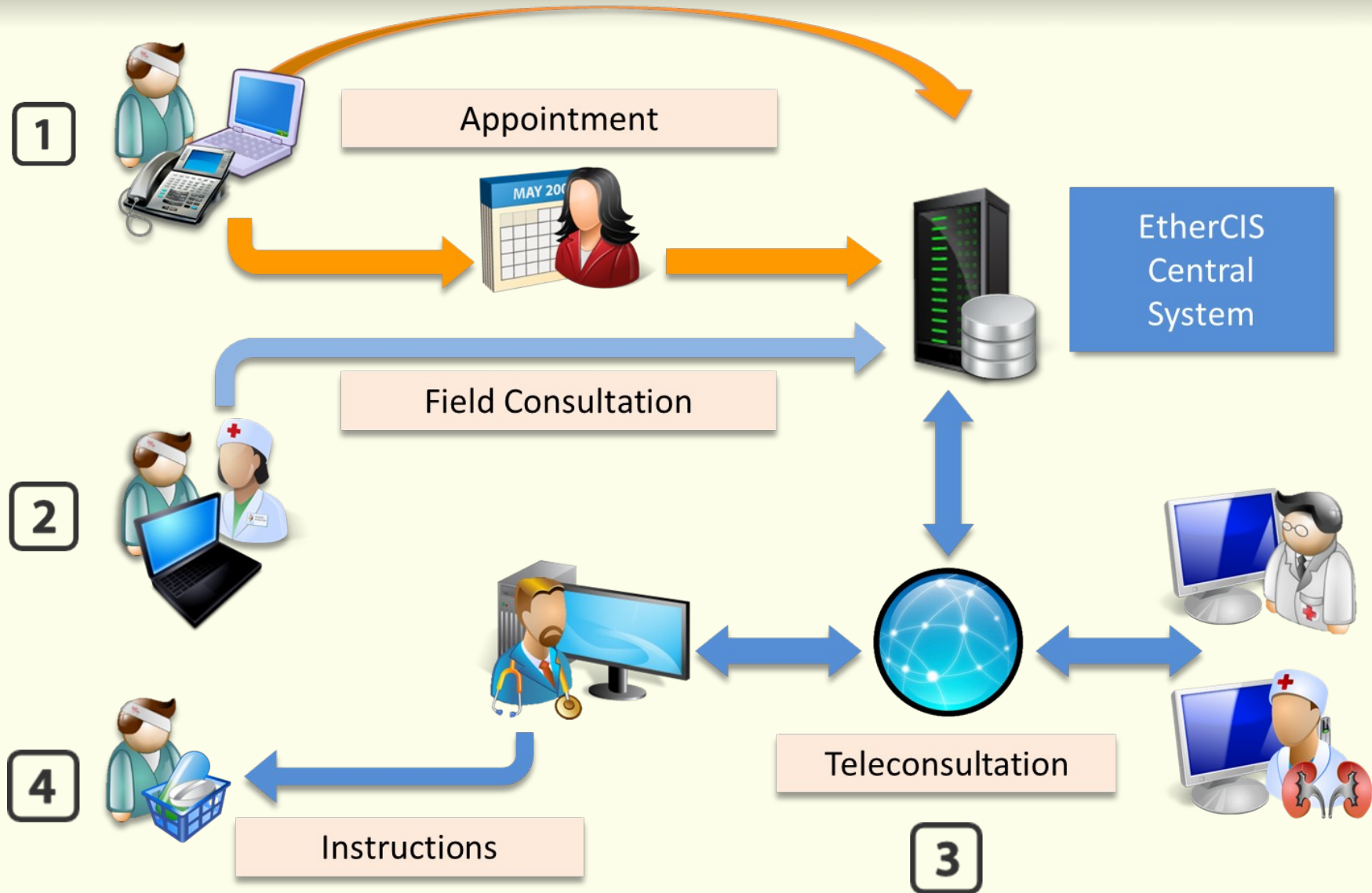
*ADOC Software Development*

*WARNING: This is a Microsoft Free Presentation*

# Objectives

- Centralized clinical data: direct input, import + export
- Production of mandatory KPIs and reports
- Strong security/privacy in compliance to national regulation
- Discreet access to data according to patients' informed consent
- Action/event coordination (workflow, scheduler)
- Telemedicine
- Automated problem severity assessment
- Detection of potential adverse reaction
- Adequation with data center best practices
- Able to deal with ever/frequently changing medical requirements
  - Model Driven Architecture?

# Synoptic



# Example KPIs

- KPIs are mandatory to claim subsidies
- Example: DRS & Follow-up Indicators:
  - Population coverage
  - Medical: nb patients without, mild/moderate/severe NPDR/DME etc.
  - Quality MD OPTH: Nb evaluating FO/DRS, Nb > 250 FO/DRS etc.
  - Quality Nurses: Nb patients w/bad fundus, w/tropicamid instillation, w/stereoscopic photos etc.
  - Management Nurses: Nb patients w/no problem, difficult/impossible cooperation, photos retakes
    - ▶ This is only for ONE program...

# What is an openEHR backend?

- Create EHR
- Compositions & Contributions CRUD
- Query CDR (AQL)
- Manage Template & Archetypes
- Validate data w/Template constraints
- Logging/auditing
- Segregate Demographics & Clinical Data
- Expose resources with a REST API (but not only!)

# EtherCIS Principles

- It's All About the Database
  - First Trials: Domain → DB (ORM)
  - EtherCIS: DB → Domain (SQL)
    - The DB survives the application
    - Reading/Querying is the issue
    - Throughput/optimization
- jOOQ: Java Object Oriented (SQL) Query
- Benefits
  - Support modern SQL and Extensions (SQL:2016)
  - Referential Integrity
  - PostgreSQL: jsonb denormalization (not schema-less!) BUT no referential integrity yet here...
- Service Oriented Architecture (openEHR SM)
- REST API
- Knowledge Cache

# Why Using a *traditional* DB Engine ?

- DB DNA/Ecosystem
  - Analytics tools
  - Backup/recovery
  - External Data integration
  - Query optimization
  - Data management
  - Hardware/Software coupling
  - Monitoring
- ACID (MongoDB's "Eventual Consistency").
- Transactional
- No thrill Data Center adoption
- Secure and Reliable

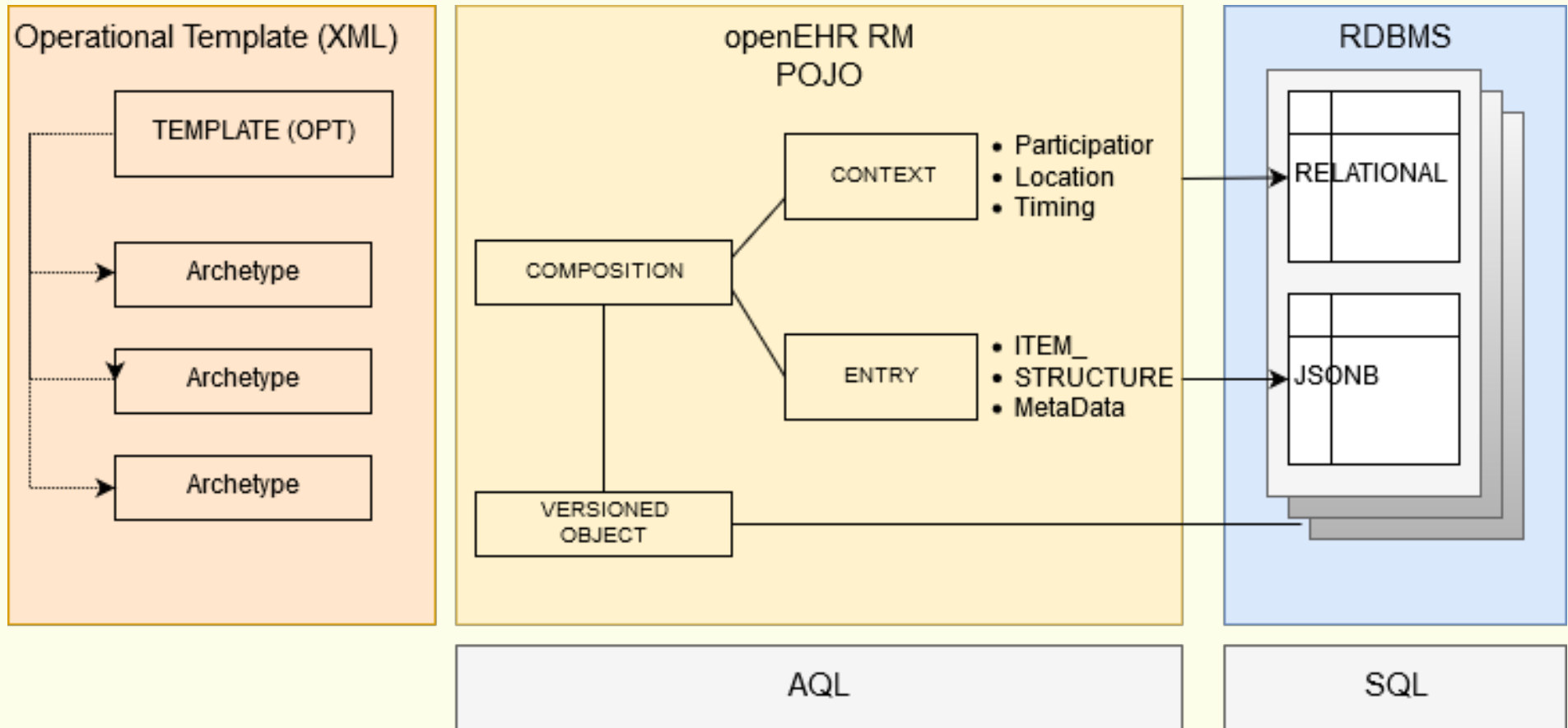
# Modern SQL ?

- LATERAL joins (for-each SQL)
- Grouping Sets (instead of UNION ALL)
- CTEs
- Recursive CTEs
- Partitioning (OVER)
- JSON
- Temporal Tables

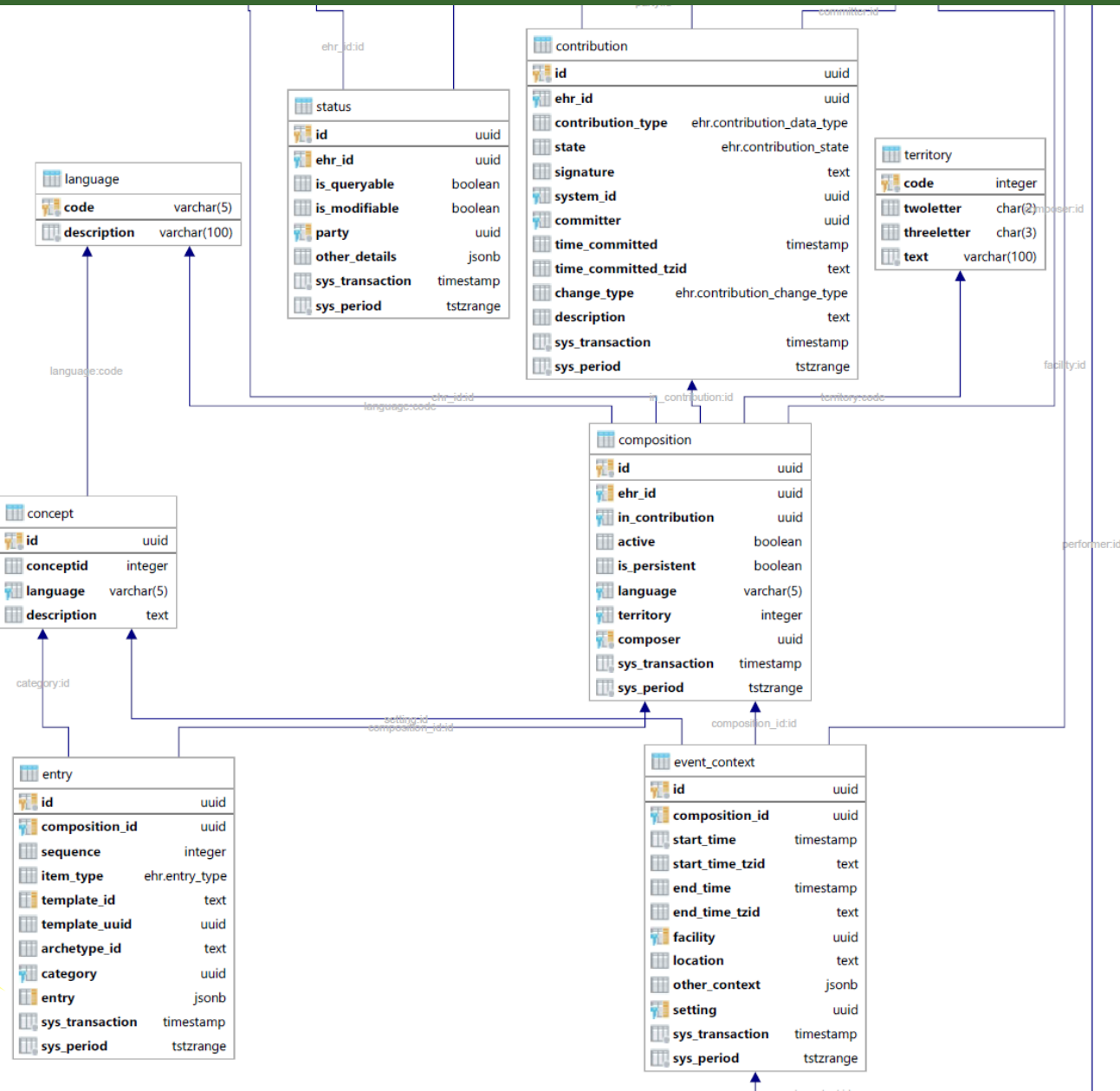
See Markus Winand's Blog ([modern-sql.com](http://modern-sql.com))



# Projections



# DB Structure



ITEM STRUCTURE

# ITEM STRUCTURE Persistence

```
"/data[at0003]": {
  "/items[at0004]": [
    {
      "/name": {
        "value": "Systolic"
      },
      "/value": {
        "units": "mm[Hg]",
        "accuracy": 0.0,
        "magnitude": 135.0,
        "precision": 0,
        "accuracyPercent": false
      },
      "/$PATH$": "/content[openEHR-EHR-SECTION.vital_signs.v1 and
name/value='Vital signs']/items[openEHR-EHR-OBSERVATION.blood_pressure.v1 and
name/value='Blood Pressure']/data[at0001]/events[at0006 and name/value='any
event']/data[at0003]/items[at0004 and name/value='Systolic']",
      "/$CLASS$": "DvQuantity"
    }
  ],
}
```

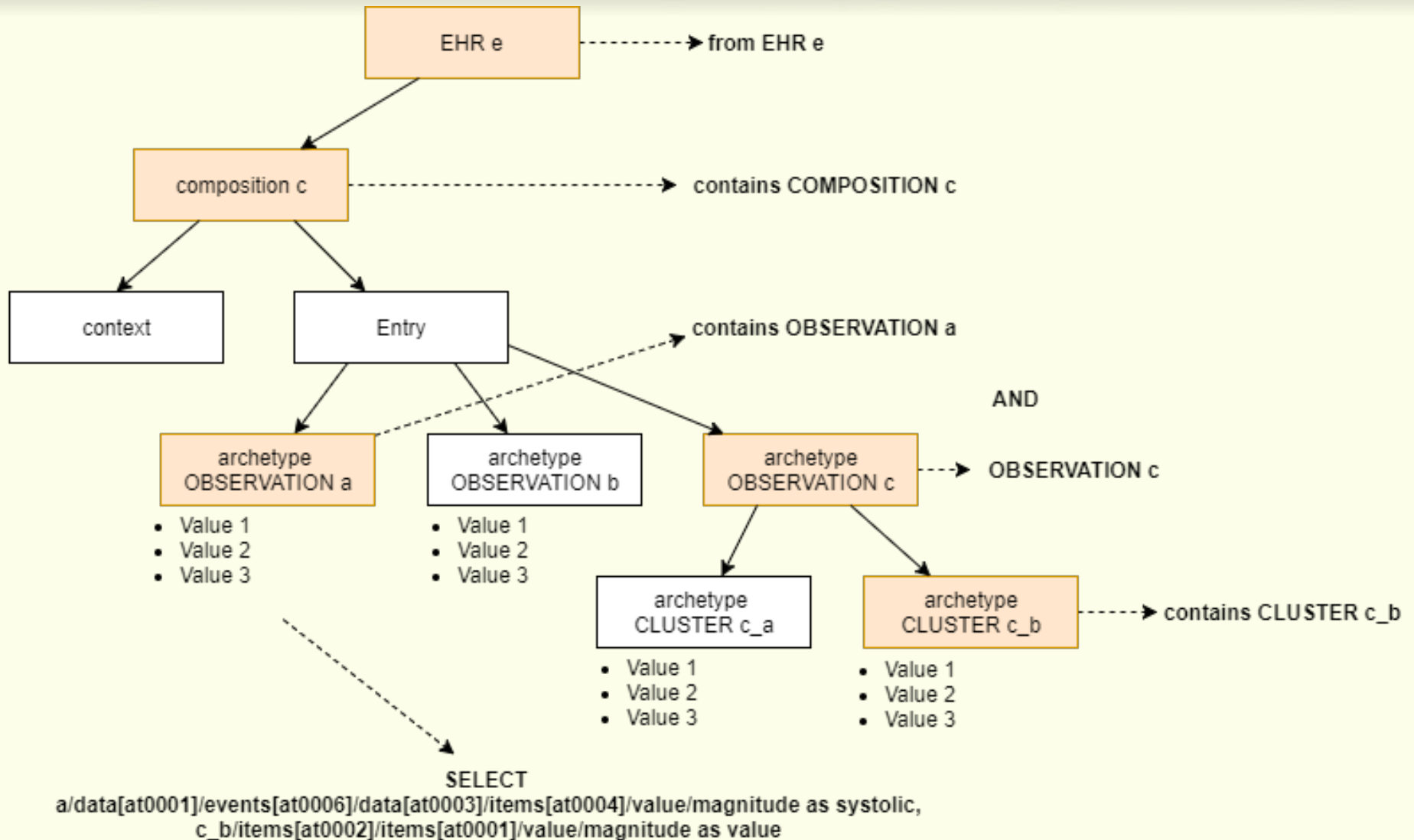
# Persistence

- PostgreSQL supports the combining Tables and Document (json) data
- Can be manipulated/queried within the same DB engine
- Statics => Table: EHR, EVENT\_CONTEXT, CONTRIBUTION, COMPOSITION, PARTY\_IDENTIFIED etc.
  - Referential Integrity
  - Joins
- Archetyped (ITEM\_STRUCTURE): jsonb
  - Composition ENTRY
  - EHR OTHER\_STATUS
  - Event Context OTHER\_CONTEXT
- Indexing
- JSON/SQL is part of SQL ISO/IEC 9075:2016 specification
- Temporal Tables is part of SQL ISO/IEC 9075:2011 specification

# More Persistence

- Versioning:
  - Bi Temporal Tables:
    - application period
    - Transaction time
  - Performed via Triggers on CUD
- CONTAINS clause resolution
  - Nested Sets: 'Itree'
  - Build an index of archetype path depending on Templates
  - Supports partial search (wildcard)

# Querying



# AQL Processing

- Compiler AQL → SQL (postgresql)
- Sequence
  - Parse expression (ANTLR) → AST
  - Pass 1
    - Map CONTAINS expressions into nested sets
  - Pass 2
    - Map all SELECT variables, aggregation etc.
    - Identify ORDER BY, TOP etc.
  - Bind to SQL (PostgreSQL)
    - Resolve variable paths → use CONTAINMENT table
    - Bind
      - SELECT statement (straight SQL or JSONB)
      - FROM clause → Uses discreet set of JOINS
      - WHERE clause → (straight SQL or JSQUERY)
    - Assemble Query (UNION statement in particular)
  - Perform SQL query
  - Post-processing (formatting/transformation/aggregation)
- Eventually, all processing shall be done by the DB engine (target is ONE SQL query/AQL expression)

# AQL Select BP

```
select a/uid/value as uid,  
       a/context/start_time/value as date_created,  
       o_bp/data[at0001]/events[at0006]/data[at0003]/items[at0004]/value/magnitude as systolic,  
       o_bp/data[at0001]/events[at0006]/data[at0003]/items[at0004]/value/units as systolic_units,  
       o_bp/data[at0001]/events[at0006]/data[at0003]/items[at0005]/value/magnitude as diastolic,  
       o_bp/data[at0001]/events[at0006]/data[at0003]/items[at0005]/value/units as diastolic_units  
from EHR e  
contains COMPOSITION a contains OBSERVATION o_bp[openEHR-EHR-OBSERVATION.blood_pressure.v1]  
where a/context/start_time/value >= '2016-01-01T00:00:00' and a/context/start_time/value <=  
'2016-09-31T00:00:00'  
and e/ehr_status/subject/external_ref/id/value = '99999-1234'  
and e/ehr_status/subject/external_ref/namespace = 'testIssuer'  
ORDERBY date_created ASC
```



# SQL Select BP

```
select
  "ehr"."comp_expand"."composition_id"||'::'||'test-server'||'::'||(
    select (count(*) + 1)
    from "ehr"."composition_history"
    where "ehr"."composition_history"."id" = 'fadbd0d2-d3e0-4f06-bfa8-3e304dc231d7'
  ) as "uid",
  to_char("ehr"."comp_expand"."start_time",'YYYY-MM-DD"T"HH24:MI:SS')||"ehr"."comp_expand"."start_time_tzid" as "date_created",
  "ehr"."comp_expand"."entry"->(select json_object_keys("ehr"."comp_expand"."entry"::json)) #>> '{/content[openEHR-EHR-SECTION.simple_section_name.v1],0,/items[openEHR-EHR-OBSERVATION.blood_pressure.v1],0,/data[at0001],/events,/events[at0006],0,/data[at0003],/items[at0004],0,/value,magnitude}' as
"systolic",
  "ehr"."comp_expand"."entry"->(select json_object_keys("ehr"."comp_expand"."entry"::json)) #>> '{/content[openEHR-EHR-SECTION.simple_section_name.v1],0,/items[openEHR-EHR-OBSERVATION.blood_pressure.v1],0,/data[at0001],/events,/events[at0006],0,/data[at0003],/items[at0004],0,/value,units}' as
"systolic_units",
  "ehr"."comp_expand"."entry"->(select json_object_keys("ehr"."comp_expand"."entry"::json)) #>> '{/content[openEHR-EHR-SECTION.simple_section_name.v1],0,/items[openEHR-EHR-OBSERVATION.blood_pressure.v1],0,/data[at0001],/events,/events[at0006],0,/data[at0003],/items[at0005],0,/value,magnitude}' as
"diastolic",
  "ehr"."comp_expand"."entry"->(select json_object_keys("ehr"."comp_expand"."entry"::json)) #>> '{/content[openEHR-EHR-SECTION.simple_section_name.v1],0,/items[openEHR-EHR-OBSERVATION.blood_pressure.v1],0,/data[at0001],/events,/events[at0006],0,/data[at0003],/items[at0005],0,/value,units}' as
"diastolic_units",
  "ehr"."comp_expand"."entry"->(select json_object_keys("ehr"."comp_expand"."entry"::json)) #>> '{/content[openEHR-EHR-SECTION.simple_section_name.v1],1,/items[openEHR-EHR-OBSERVATION.heart_rate.v1],0,/data[at0002],/events,/events[at0003],0,/data[at0001],/items[at0004],0,/value,magnitude}' as
"rate",
  "ehr"."comp_expand"."entry"->(select json_object_keys("ehr"."comp_expand"."entry"::json)) #>> '{/content[openEHR-EHR-SECTION.simple_section_name.v1],1,/items[openEHR-EHR-OBSERVATION.heart_rate.v1],0,/data[at0002],/events,/events[at0003],0,/data[at0001],/items[at0004],0,/value,units}' as
"rate_units"
from "ehr"."comp_expand"
where "ehr"."comp_expand"."composition_id" = 'fadbd0d2-d3e0-4f06-bfa8-3e304dc231d7'
```

# Validation

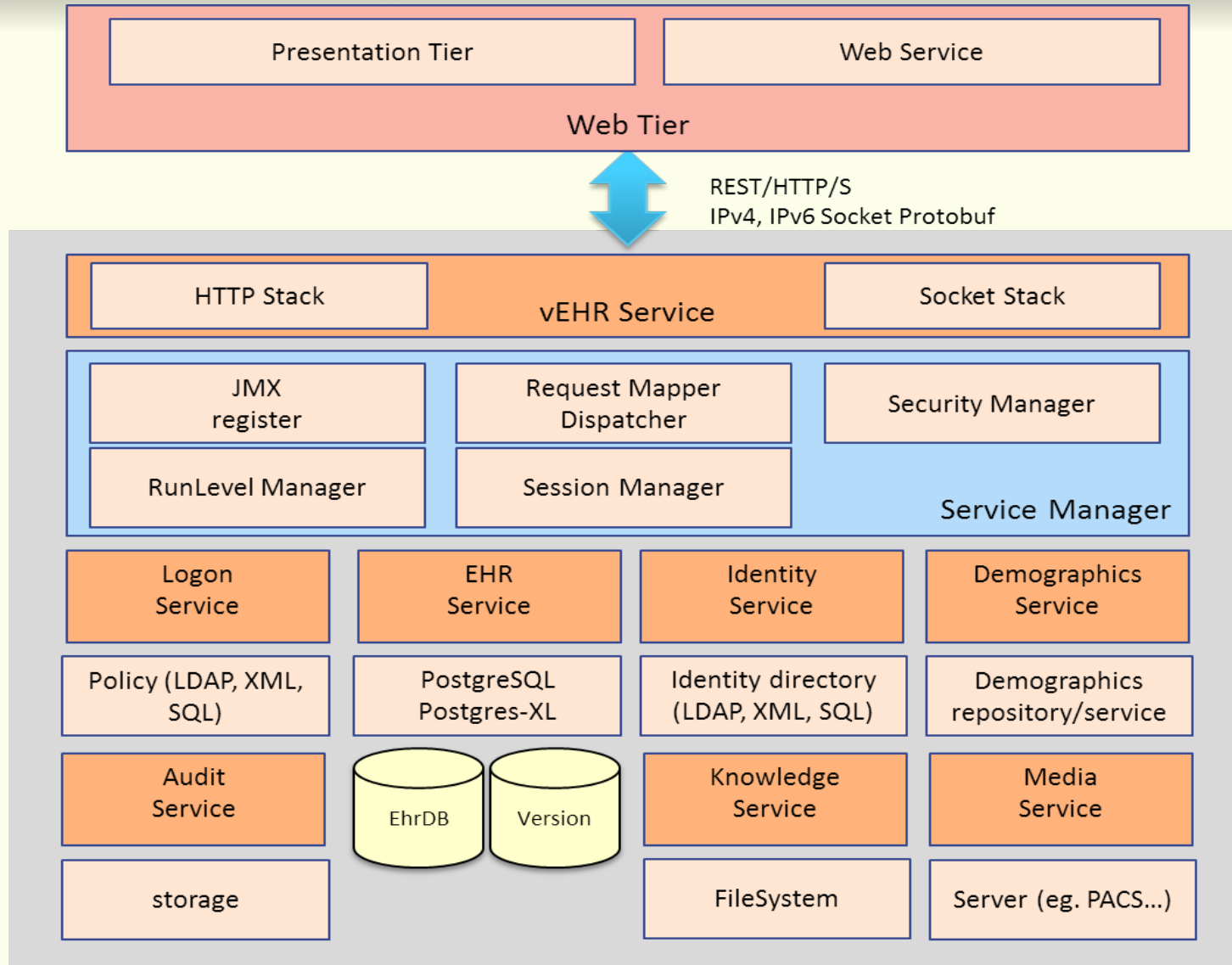
- Specified by AOM  
<http://www.openehr.org/releases/AM/latest/docs/AOM2/AOM2.html>
- Path based
- Cache Maps and Lists of constraints per Template
  - Type specific constraint (validate units f.e.)
  - Cardinality
  - Existence/Not Allowed
  - Valid path
- Invoked whenever a composition is Inserted or Updated

# Template Constraints

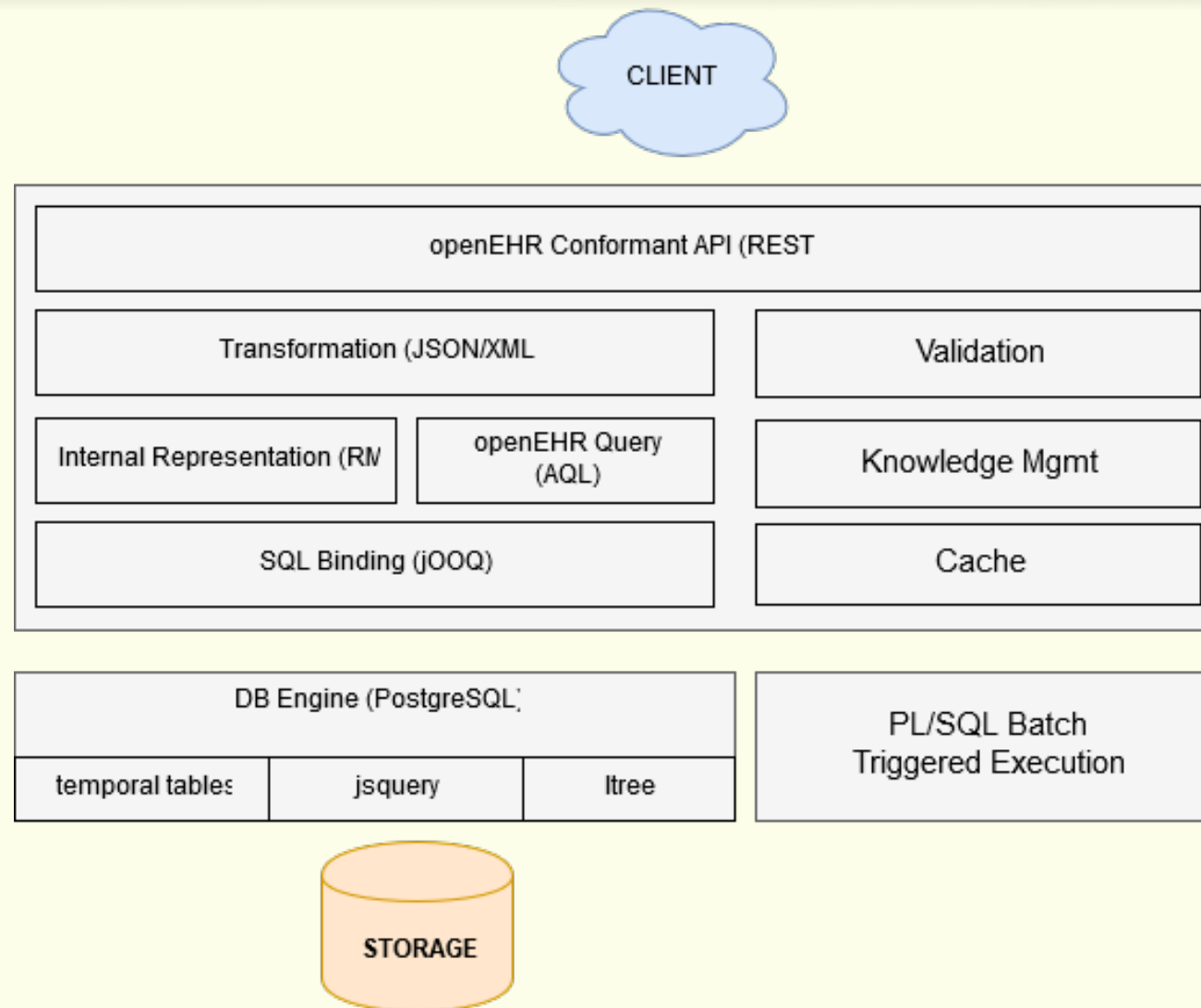
```
<v1:rm_type_name>ELEMENT</v1:rm_type_name>
  <v1:occurrences>
    <v1:lower_included>true</v1:lower_included>
    <v1:upper_included>true</v1:upper_included>
    <v1:lower_unbounded>false</v1:lower_unbounded>
    <v1:upper_unbounded>false</v1:upper_unbounded>
    <v1:lower>0</v1:lower>
    <v1:upper>1</v1:upper>
  </v1:occurrences>
  <v1:node_id>at0004</v1:node_id>
  <v1:attributes xsi:type="C_SINGLE_ATTRIBUTE">
...
  <v1:children xsi:type="C_COMPLEX_OBJECT">
    <v1:rm_type_name>DV_QUANTITY</v1:rm_type_name>
    <v1:occurrences>
      <v1:lower_included>true</v1:lower_included>
      <v1:upper_included>true</v1:upper_included>
      <v1:lower_unbounded>false</v1:lower_unbounded>
      <v1:upper_unbounded>false</v1:upper_unbounded>
      <v1:lower>1</v1:lower>
      <v1:upper>1</v1:upper>
    </v1:occurrences>
    <v1:node_id/>
    <v1:property>
      <v1:terminology_id>
        <v1:value>openehr</v1:value>
      </v1:terminology_id>
      <v1:code_string>382</v1:code_string>
    </v1:property>
    <v1:list>
      <v1:magnitude>
        <v1:lower_included>true</v1:lower_included>
        <v1:lower_unbounded>false</v1:lower_unbounded>
        <v1:upper_unbounded>true</v1:upper_unbounded>
        <v1:lower>0</v1:lower>
      </v1:magnitude>
      <v1:precision>
...
    </v1:precision>
    <v1:units>/min</v1:units>
  </v1:list>
```

[www.openehr.org/releases/1.0.2/architecture/terminology.pdf](http://www.openehr.org/releases/1.0.2/architecture/terminology.pdf)  
ConceptId 382 = frequency

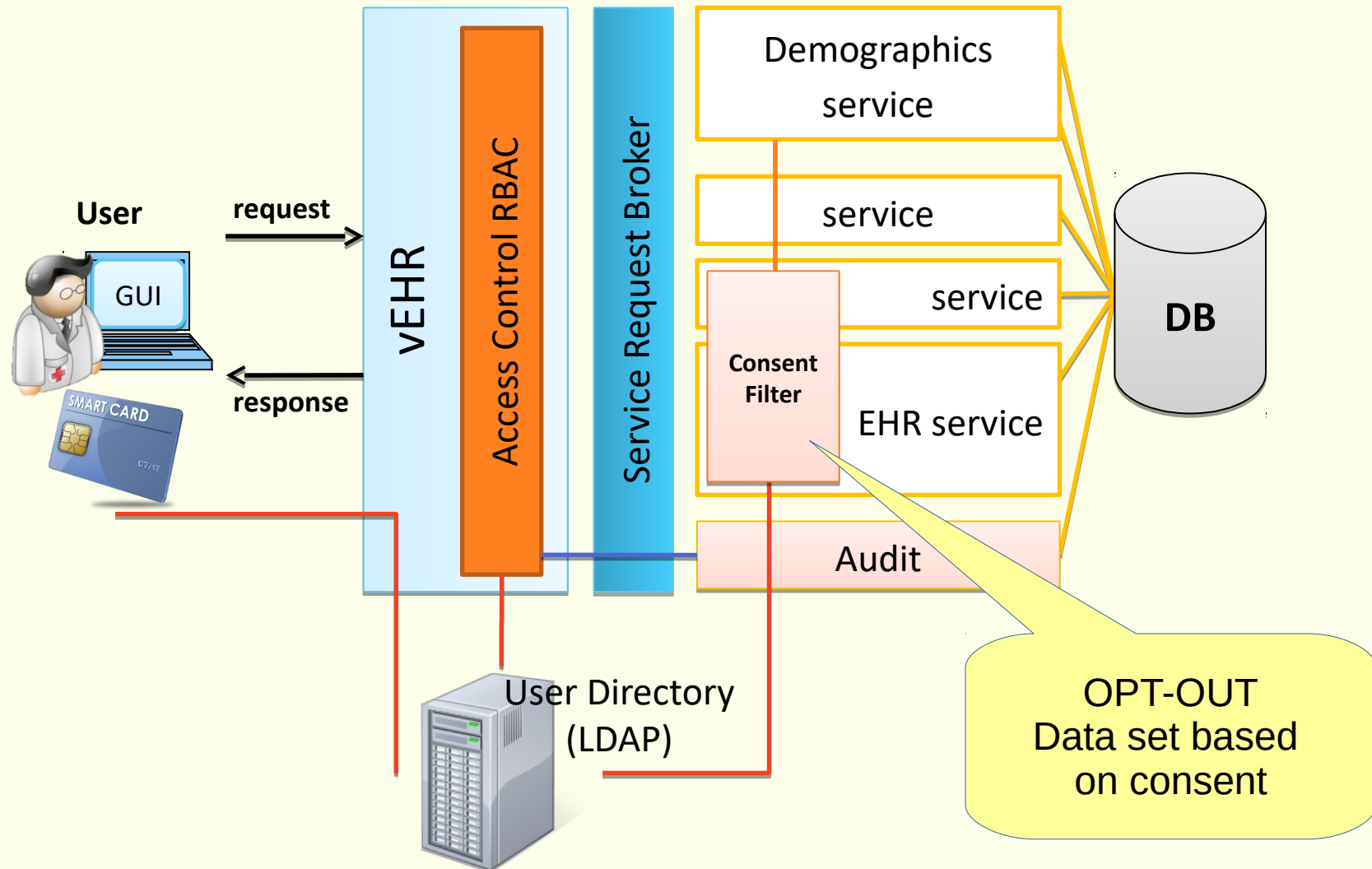
# OpenEHR SM: vEHR



# EtherCIS Internals



# Data Access Control



# Consent Based Data Access

```
?? xml
version="1.0" encoding="UTF-8" standalone="yes"
archetype-nodes
  name
  node
  node
  node
  node
  node
  node
  node
  node
  node
  node
  node
  node
  node
  node
  node
  name
  enable
```

openEHR-EHR-OBSERVATION.sample\_blood\_pressure.v1

at0033  
false

Blood Pressure

events

20120101T1830

any event #1

20120101T1830

blood pressure

Systolic 70.0

Diastolic 120.0 mm[Hg]

Mean Arterial Pressure 100.0 mm[Hg]

Pulse Pressure 98.0 mm[Hg]

Comment testing

state structure

Position Standing

Confounding factors test Confounding factors

Sleep status Sleeping

Blood Pressure

events

20120101T1830

any event #1

20120101T1830

blood pressure

Systolic 70.0 mm[Hg]

Diastolic 120.0 mm[Hg]

Mean Arterial Pressure 100.0 mm[Hg]

Pulse Pressure 98.0 mm[Hg]

state structure

Position Standing

Confounding factors test Confounding factors

Sleep status Sleeping

# More Info?

- EtherCIS <http://ethercis.org/>
- Ripple <http://ripple.foundation/>
- OpenEHR <http://www.openehr.org/>
- EtherCIS code base  
<https://github.com/ethercis>



# Deployment

